

OK-7

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FEB 13 2009

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☒ Check here and attach additional sheets if necessary. 1 Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Jack Westwood
Business address n/a
Business telephone n/a
Home address 2072 Lakelyn Court Crescent Springs, Ky 41017
Title of public position, or office sought State Senator Dist. #23
Other occupations of filer retired educator
Occupations of spouse housewife

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation none

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation none

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

Rental Property: 11821 Madison Pike, Independence KY 41051
2 Family Home 209 Graves Ave. Erlanger, KY 41018
Certificates of Deposits - Citizens Bank Higginsport, OH
Bank Stock " "
Savings Account " "
Cargill P.O. Box 30347, Cincinnati, OH 45230

Attachment

Sources and form of gross income of the filer (list sources by name)

Commonwealth of KY - Legislative salary/compensation
Rental income - 11821 Madison Pk, Independence, KY 41051
Interest from Savings account - ~~Citizens~~ Advantage Bank
Interest from checking account - Heritage Bank, Advantage Bank
Retirement Income - KTRS

Sources and form of gross income of the filer's spouse (list sources by name)

Interest from CD's Higginsport Citizens Bank
Dividend from Bank Stock - Higginsport Citizens Bank
Inheritance - Estate of Joe Martin - father
CDs & Stock - Higginsport Citizens Bank
IRA

Positions of a fiduciary nature in a business

None

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

Residential:

11821 Madison Pike, Independence, KY 41051
209 Graves Ave, Erlanger, KY 41018

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.)

none

The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

Third Federal Savings & Loan

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

none

The names of any of the filer's clients who are legislative agents or employers

none

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☐ No ☒ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

n/a

State Agency

n/a

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2-3-09
Date


Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.

John D. and Kelley Westwood Holdings:

Representatives:

Dallas N. Horn/ Steve Horn
509 Centre View Blvd.
Crestview Hills KY 41017

Broker/Dealer:

Multi-Financial Securities Corporation
1290 Broadway
Denver CO 80203

Clearing Firm:

Pershing
One Pershing Plaza
Jersey City NJ 07399

Holdings:

The Federated Funds
Federated Government Reserve
ATTN: FED
P.O. Box 8600
Boston MA 02266-8600

The Fairholme Fund
FCM Services, Inc.
83 General Warren Blvd., Suite 190
Malvern PA 19355

Permanent Portfolio Funds, Inc.
600 Montgomery Street, 27th Floor
San Francisco CA 94111-2702

Inland Securities Corporation
Inland American REIT
2901 Butterfield Road
Oak Brook IL 60523

